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10/614,111

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Daniel Dulitz

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EXAMINER

MORRISON, JAY A

ART UNIT

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2168

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PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

| | | | |
|------------------------------|--------------------------------------|--------------------------------------|--|
| Office Action Summary | Application No. 10/614,111 | Applicant(s) DULITZ ET AL. | |
| | Examiner JAY A. MORRISON | Art Unit 2168 | |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 09 October 2008.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 12-20,37-40 and 42-58 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 12-20,37-40 and 42-58 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Remarks

1. Claims 12-20, 37-40 and 42-58 are pending.

Claim Rejections - 35 USC § 101

2. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

3. Claims 12-20 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter. The claims are directed to a process that is not tied to another statutory class and does not transform the underlying subject matter to a different state or thing. See *Diamond v. Diehr*, 450 U.S. 175, 184 (1981); *Parker v. Flook*, 437 U.S. 584, 588 n.9 (1978); *Gottschalk v. Benson*, 409 U.S. 63, 70 (1972); *Cochrane v. Deener*, 94 U.S. 780,787-88 (1876).

Claim Rejections - 35 USC § 103

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

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(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148

USPQ 459 (1966), that are applied for establishing a background for determining

obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

5. Claims 12-17, 40, 42-48 and 50-55 are rejected under 35 U.S.C. 103(a) as being unpatentable over Meyerzon et al. ('Meyerzon' hereinafter) (Patent Number 6,547,829) in view of Leuski ("Evaluating document clustering for interactive information retrieval", by Leuski, Proceedings of the tenth international conference on Information and knowledge management, Atlanta, Georgia, Pages 33-40, 2001, ISBN:1-58113-436-3).

As per claim 12, Meyerzon teaches

A method of detecting duplicate documents in a network crawling system,
comprising: (see abstract and background)

constructing a plurality of tables, each table corresponding to a portion of a document address space (builds new index based on documents, column 4, lines 43-60), storing information identifying documents having a same document identifier and each identified document having an associated document rank; (pages stored with ranking information, column 2, lines 3-16)

receiving a newly crawled document, such document characterized by a document identifier and a document rank; (pages stored with ranking information, column 2, lines 3-16; content identifier of document, column 2, lines 65-67)

reading information stored in the plurality of tables to identify a set of documents, sharing the document identifier of the newly crawled document, and ascertaining an original representative document for the identified set of documents; (existing content identifier for crawled document, column 9, lines 18-29)

updating the information stored in at least one of the tables in accordance with the document ranks of the identified set of documents and the newly crawled document; (pages stored with ranking information, column 2, lines 3-16; content identifier of document, column 2, lines 65-67).

Meyerzon does not explicitly indicate “determining a representative document for the newly crawled document and the identified set of documents; indexing the

representative document when the representative document is the newly crawled document; and repeating the receiving, reading, updating, determining and indexing operations with respect to a plurality of newly crawled documents, each of which shares a respective document identifier with a respective set of documents, such that at least some of the newly crawled documents are determined to be representative documents and are indexed”.

However, Leuski discloses “determining a representative document for the newly crawled document and the identified set of documents” (clustered list with representative document, section 3.3, first paragraph), “indexing the representative document when the representative document is the newly crawled document; and repeating the receiving, reading, updating, determining and indexing operations with respect to a plurality of newly crawled documents, each of which shares a respective document identifier with a respective set of documents, such that at least some of the newly crawled documents are determined to be representative documents and are indexed” (documents retrieved at discrete time steps and reordering is performed and each time the most relevant document is selected, section 4).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine Meyerzon and Leuski because using the steps of “determining a representative document for the newly crawled document and the identified set of documents; indexing the representative document when the representative document is the newly crawled document; and repeating the receiving, reading, updating, determining and indexing operations with respect to a plurality of

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newly crawled documents, each of which shares a respective document identifier with a respective set of documents, such that at least some of the newly crawled documents are determined to be representative documents and are indexed” would have given those skilled in the art the tools to improve the invention by allowing duplicate documents to be identified and represented. This gives the user the advantage of not having multiple copies of the same document to choose from.

As per claim 13, Meyerzon teaches

information identifying the identified set of documents, including a particular document serving as the original representative document of the identified set, is stored in one or more tables. (column 9, lines 32-40)

As per claim 14, Meyerzon teaches

the determining includes comparing the document rank of the newly crawled document with that of the particular document from the identified set in accordance with a set of predefined comparison criteria; selecting the newly crawled document as the representative document if the set of predefined comparison criteria are met; (column 5, lines 20-40)

and keeping the particular document as the representative document if the set of predefined comparison criteria is not met. (column 2, lines 32-40)

As per claim 15, Meyerzon teaches

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the set of predefined comparison criteria comprise at least two parameters, one parameter for comparison with an absolute difference of document ranks between the newly crawled document and the particular document, and another parameter for comparison with a ratio of document ranks between the newly crawled document and the particular document. (column 5, lines 20-40)

As per claim 16, Meyerzon teaches

the updating includes inserting information identifying the newly crawled document into the at least one table only when a predefined insertion condition is satisfied. (column 9, lines 32-40)

As per claim 17, Meyerzon teaches

the predefined insertion condition is that the document rank of the newly crawled document is higher than the document rank of at least one document in the identified set of documents. (column 2, lines 32-40)

As per claim 41,

This claim is rejected on grounds corresponding to the arguments given above for rejected claim 12 and is similarly rejected.

As per claim 42, Meyerzon teaches

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the plurality of data structures include a data structure for storing information of multiple sets of documents, each set of documents sharing a same document content.

(column 2, line 64 through column 3, line 22)

As per claim 43, Meyerzon teaches

the plurality of data structures include a data structure for storing information of multiple sets of documents, each set of documents sharing a same document address.

(storage location, column 2, line 64 through column 3, line 22)

As per claim 44, Meyerzon teaches

the document identifier is a fixed length fingerprint of document content of a document characterized by the document identifier. (content identifier, column 2, line 64 through column 3, line 22)

As per claims 45, Meyerzon teaches

the document identifier is a fixed length fingerprint of an address of a document characterized by the document identifier. (content identifier, column 2, line 64 through column 3, line 22)

As per claims 46, Meyerzon teaches

the generating instructions include sorting the requesting document and the selected set of documents in accordance with a metric included in score information of

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the requesting document and selected set of documents; and selecting a new set of documents, having at most a predefined number of documents, from the requesting document and the selected set of documents based on the sorting result. (column 2, lines 3-16)

As per claims 47, Meyerzon teaches

the score information for each document includes a document rank; (column 2, lines 3-16)

and the identifying instructions include comparing the document rank of the requesting document with that of a particular document from the selected set of documents in accordance with a set of predefined comparison criteria, wherein the particular document was previously determined to be the representative document for the selected set of documents; (column 5, lines 20-40)

selecting the requesting document as the representative document for the new set of documents if the set of predefined comparison criteria are met; (column 2, lines 32-40)

and keeping the particular document as the representative document for the new set of documents if the set of predefined comparison criteria is not met. (column 2, lines 32-40)

As per claims 48, Meyerzon teaches

the set of predefined comparison criteria comprise at least two parameters, one parameter for comparison with an absolute difference of document rank between the requesting document and the particular document, and another parameter for comparison with a ratio of document rank between the requesting document and the particular document (column 8, lines 39-61).

As per claims 50-55,

These claims are respectively rejected on grounds corresponding to the arguments given above for rejected claims 12-17 and are similarly rejected.

6. Claims 18-20, 37-39 and 56-58 are rejected under 35 U.S.C. 103(a) as being unpatentable over Meyerzon et al. ('Meyerzon' hereinafter) (Patent Number 6,547,829) in view of Leuski ("Evaluating document clustering for interactive information retrieval", by Leuski, Proceedings of the tenth international conference on Information and knowledge management, Atlanta, Georgia, Pages 33-40, 2001, ISBN:1-58113-436-3) and further in view of Rujan et al. ('Rujan' hereinafter) (Patent Number 6,976,207).

As per claim 18, Meyerzon teaches

A method of detecting duplicate documents in a network crawling system, comprising: (see abstract and background)

constructing a plurality of tables, each table corresponding to a segment of a document address space, storing information identifying documents having a same document identifier and each identified document having an associated document rank, (existing content identifier for crawled document, column 9, lines 18-29) wherein the plurality of tables comprise $N+1$ tables where N is an integer greater than one, wherein the $N+1$ tables comprise N tables, each generated during a respective phase of a set of N crawling phases, and a current table generated during a current one of the N crawling phases, wherein an oldest one of the N tables was generated during a previous instance of the current crawling phase; (incremental or full crawls are performed after first full crawl where new index is created, column 4, lines 43-60)

receiving a newly crawled document, such document characterized by a document identifier and a document rank; (pages stored with ranking information, column 2, lines 3-16; content identifier of document, column 2, lines 65-67)

reading information stored in the $N+1$ tables to identify a set of documents sharing the document identifier of the newly crawled document, and ascertaining an original representative document for the identified set of documents; (existing content identifier for crawled document, column 9, lines 18-29; column 4, lines 43-60)

updating the information stored in the current table in accordance with the document rankings of the identified set of documents and the newly crawled document; and upon completion of the current crawling phase, ... of the N tables. (pages stored with ranking information, column 2, lines 3-16; content identifier of document, column 2, lines 65-67)

Meyerzon does not explicitly indicate “determining a representative document for the newly crawled document and the identified set of documents; indexing the representative document when said representative document is the newly crawled document; repeating the receiving, reading, updating, determining and indexing operations with respect to a plurality of newly crawled documents, each of which shares a respective document identifier with a respective set of documents, such that at least some of the newly crawled documents are determined to be representative documents and are indexed”.

However, Leuski discloses “determining a representative document for the newly crawled document and the identified set of documents” (clustered list with representative document, section 3.3, first paragraph), “indexing the representative document when said representative document is the newly crawled document ; repeating the receiving, reading, updating, determining and indexing operations with respect to a plurality of newly crawled documents, each of which shares a respective document identifier with a respective set of documents, such that at least some of the newly crawled documents are determined to be representative documents and are indexed” (documents retrieved at discrete time steps and reordering is performed and each time the most relevant document is selected, section 4).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine Meyerzon and Leuski because using the steps of “indexing the representative document when said representative document is the newly crawled document ; repeating the receiving, reading, updating, determining and

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indexing operations with respect to a plurality of newly crawled documents, each of which shares a respective document identifier with a respective set of documents, such that at least some of the newly crawled documents are determined to be representative documents and are indexed” would have given those skilled in the art the tools to improve the invention by allowing duplicate documents to be identified and represented. This gives the user the advantage of not having multiple copies of the same document to choose from.

Neither Meyerzon nor Leuski explicitly indicate “retiring the oldest one”.

However, Rujan discloses “retiring the oldest one” (column 15, lines 20-25).

It would have been obvious to one of ordinary skill in the art to combine Meyerzon, Leuski and Rujan because using the steps of “retiring the oldest one” would have given those skilled in the art the tools to create an effective information storage and retrieval system. This gives the user the advantage of keeping a limited amount of historic information.

As per claim 19, Meyerzon teaches

the reading comprises reading from a merged table that stores information from a plurality of the N tables, and reading from the current table (column 4, lines 43-60).

As per claim 20, Meyerzon teaches

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information identifying the identified set of documents, including a particular document serving as the original representative document of the identified set, is stored in one or more tables (column 9 lines 32-40).

As per claims 37-39,

These claims are respectively rejected on grounds corresponding to the arguments given above for rejected claims 18-20 and are similarly rejected.

As per claims 56-58,

These claims are respectively rejected on grounds corresponding to the arguments given above for rejected claims 18-20 and are similarly rejected.

7. Claim 49 is rejected under 35 U.S.C. 103(a) as being unpatentable over Meyerzon et al. ('Meyerzon' hereinafter) (Patent Number 6,547,829) in view of Leuski ("Evaluating document clustering for interactive information retrieval", by Leuski, Proceedings of the tenth international conference on Information and knowledge management, Atlanta, Georgia, Pages 33-40, 2001, ISBN:1-58113-436-3) and further in view of Lambert et al. ('Lambert' hereinafter) (Patent Number 6,976,207).

As per claims 49,

Neither Meyerzon nor Leuski explicitly indicate “a document is a temporary redirect page comprising a document content, a source document at address, and a target document address”.

However, Lambert discloses “a document is a temporary redirect page comprising a document content, a source document at address, and a target document address” (paragraph [0057]).

It would have been obvious to one of ordinary skill in the art to combine Meyerzon, Leuski and Lambert because using the steps of “a document is a temporary redirect page comprising a document content, a source document address, and a target document address” would have given those skilled in the art the tools to accurately represent web sites and the content that they hold. This gives the user the advantage of recognizing web page structure.

Response to Arguments

8. Applicant's arguments filed 10/9/2008 have been fully considered but they are not persuasive.

Applicant argues that neither Meyerzon, Cho, nor Wang disclose “determining a representative document for the newly crawled document and the identified set of documents” nor “such that at least some of the newly crawled documents are determined to be representative documents and are indexed”. Respectfully, it is noted

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that the newly added Leuski reference teaches these limitations as noted in the rejections above. Therefore, Applicant's arguments with respect to claims 12-20, 37-40 and 42-58 have been considered but are moot in view of the new ground(s) of rejection.

Conclusion

9. The prior art made of record, listed on form PTO-892, and not relied upon is considered pertinent to applicant's disclosure.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jay A. Morrison whose telephone number is (571) 272-7112. The examiner can normally be reached on M-F 8-4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tim Vo can be reached on (571) 272-3642. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Tim T. Vo/
Supervisory Patent Examiner, Art Unit 2168

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